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D-Cell Hypothesis (Trace Amine Hypothesis) of Schizophrenia, and importance of Trace Amine-Associated Receptor, Type 1 (TAAR1)

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Abstract

Mesolimbic dopamine (DA) hyperactivity is a well-known pathophysiological hypothesis of schizophrenia. The author shows a hypothesis to clarify the molecular basis of mesolimbic DA hyperactivity of schizophrenia. An immunohistochemical method was used to show D-neuron (trace amine (TA) neuron) decrease in the nucleus accumbens (Acc) of postmortem brains with schizophrenia. The striatal D-neuron decrease in schizophrenia and consequent (TAAR1) stimulation decrease onto terminals of midbrain ventral tegmental area (VTA) DA neurons induces mesolimbic DA hyperactivity of schizophrenia. Dysfunction of subventricular neural stem cells (NSC), located partially overlapping Acc is the cause of D-neuron decrease in Acc. DA hyperactivity, which inhibits NSC proliferation, causes disease progression of schizophrenia. The highlight is the rational that the "D-cell hypothesis (TA hypothesis) of schizophrenia" is a pivotal theory to link NSC dysfunction hypothesis to DA hypothesis. From a therapeutic direction, (1) TAAR1 agonists, (2) DA D2 antagonists, and (3) neurotrophic substances have potential to normalize mesolimbic DA hyperactivity. To develop novel therapeutic strategies, metabolisms of TAAR1 ligands, and NSC- and D-neuron-pathophysiology of neuropsychiatric illnesses should further be explored.

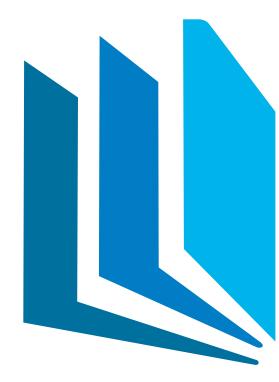
Keywords: Dopamine; D-cell; Trace amine; Schizophrenia; TAAR1, Neural Stem Cell.

Introduction

Dopamine (DA) dysfunction [1,2], glutamate dysfunction [3,4], neurodevelopmental deficits [5,6], or neural stem cell (NSC) dysfunction [7,8] are well-known hypotheses for etiology of schizophrenia. DA dysfunction hypothesis suggested that mesolimbic DA hyperactivity caused positive symptoms such as paranoid-hallucinatory state of schizophrenia [1,2]. It is also explained by the efficacy of DA D2 blockers for paranoid-hallucinatory state and also by hallucinogenic acts of DA stimulants including methamphetamine or amphetamine [1,2]. Glutamate dysfunction theory was induced by the fact that intake of phencyclidine (PCP), an antagonist of N-methyl-D-aspartate (NMDA) receptor, produces equivalent to negative symptoms of schizophrenia, such as withdrawal or flattened affect, as well as positive symptoms [3,4]. The neurodevelopmental deficits hypothesis implicates that schizophrenia is the consequence of prenatal abnormalities resulting from the interaction of genetic and environmental factors [5,6]. NSC dysfunction has also been shown to be a cause of schizophrenia [7,8]. Although mesolimbic DA hyperactivity [1,2] has been well documented in pathogenesis of schizophrenia, the molecular basis of this mechanism has not yet been detailed. In the present article, the author shows the rational of the reduction of putative trace amine (TA)-producing neurons (D-neurons), that is, ligand neurons of TA-associated receptor, type 1 (TAAR1), in the striatum in the pathogenesis of mesolimbic DA hyperactivity of schizophrenia [9]. The novel hypothesis, "D-cell hypothesis of schizophrenia", is a critical theory to link NSC dysfunction hypothesis with DA hypothesis in etiology of schizophrenia.

D-neuron (trace amine neuron)

The "D-cell" was described, by Jaeger et al. [10], in 1983 in the rat central nervous system and was defined "the non-monoaminergic aromatic L-amino acid decarboxylase (AADC)-containing cell" [10]. AADC is an equivalent enzyme to dopa decarboxylase (DDC). The D-cell contains AADC but not dopaminergic nor serotonergic [10]. Then, it is natural that the D-cell is thought to produce TAs [11,12], such as β -phenylethylamine (PEA), tyramine, tryptamine and/or octopamine. AADC is the



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rate-limiting enzyme for TA synthesis. However, it is confusing that these TAs are also "monoamines", as each one has one amino residue. It would be better to use the nomenclature of "TA cells" for D-cells, and "TA neurons" for D-neurons. In the present article, the author uses the words, D-cell and D-neuron, signifying TA cell and TA neuron, respectively. The localizations of D-cells were specified into 14 groups, from D1 (the spinal cord) to D14 (the bed nucleus of stria terminalis) in caudo-rostral order of the rat central nervous system using AADC immunohistochemistry [13]. In this usage, the classification term "D" means decarboxylation. In rodents [14,15], a small number of D-cells in the striatum were rostrally described and confirmed to be neurons by electronmicroscopic observation [14,15]. I reported in 1997, "dopadecarboxylating neurons specific to the human striatum [16-19]", that is, "D-neurons" in the human striatum [18,20] (classified to be D15) [18], though monkey striatum did not contain D-neurons [18]. In 2003, by using pathological and legal autopsy brains of patients with schizophrenia, reduction of D-neurons in the striatum, including nucleus accumbens (Acc) (classified to be D16) of patients with schizophrenia [9,20] was also shown.

Trace amine (TA)-associated receptor, type 1 (TAAR1)

Cloning of TA receptors in 2001 [21,22], elicited enormous efforts for exploring signal transduction of these G-protein coupled receptors whose genes are located on chromosome focus 6q23.1 [23]. The receptors have been shown to co-localize with DA or adrenaline transporters in monoamine neurons and to modulate the functions of monoamines [24-26]. The TAAR1 having a large number of ligands, including, PEA, tyramine, 3-iodothyronamine, 3-methoxytyramine, normetanephrine and psychostimulants, for example methamphetamine 3,4-methylenedioxymethamphetamine (MDMA) and lysergic acid diethylamide (LSD) [21,23,26], has become a target receptor for exploring novel neuroleptics [27,28].

However, endogenous TAAR1 ligands in the human central nervous system have not yet been specified. TAAR1 knockout mice showed schizophrenia-like behaviors with a deficit in prepulse inhibition [29,30]. TAAR1 knockout mice showed greater locomotor response to amphetamine and released more DA (and noradrenaline) in response to amphetamine than wild type mice [29]. It has been shown that TAAR1 has a thermoregulatory function [30].

As is the important fact, it was clarified that increased stimulation of TAAR1 receptors on cell membranes of DA neurons in the midbrain ventral tegmental area (VTA) reduced firing frequency of VTA DA neurons [27-30]. This made the author to suspect the existence of critical role of TAAR1 stimulation decrease for mesolimbic DA hyperactivity in schizophrenia.

"D-cell hypothesis" of schizophrenia

A theory, "D-cell hypothesis", for explaining mesolimbic DA hyperactivity in pathogenesis of schizophrenia is shown in Figure 1. In brains of patients with schizophrenia, dysfunction of NSC in the subventricular zone of lateral ventricle causes D-neuron decrease in the striatum and Acc [8,31]. This induces TA decrease in these nuclei, though direct evidences have not yet been demonstrated.

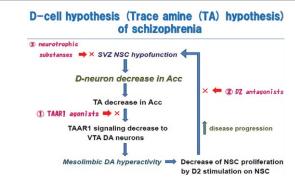
Enlargement of the lateral ventricle [32,33], a usual finding documented in brain imaging studies of schizophrenia, is probably due to NSC dysfunction in the subventricular zone [7,8].

The reduction of TAAR1 stimulation on DA terminals of VTA DA neurons, caused by TA decrease, would increase firing frequency of VTA DA neurons [28,30,31]. This increases DA release and DA turnover in the Acc [2], resulting in mesolimbic DA hyperactivity. It has been shown that D2 stimulation of NSC in the striatum inhibited forebrain NSC proliferation [31,34]. Striatal DA hyperactivity may accelerate D-neuron decrease, which accelerates hyperactivity of mesolimbic DA system. Actions of D2 blocking agents in pharmacotherapy of schizophrenia might be explained by blocking the inhibition to forebrain NSC proliferations, and also by formation of TAAR1 ligands, such as 3-methoxytyramine and normetanephrine [35]. It is consistent with clinical evidences that initial pharmacotherapy using D2 antagonists is proved to be critical for preventing progressive pathognomonic procedures of schizophrenia [36].

Disease progression of schizophrenia and therapeutic strategies

D-cell hypothesis not only links DA hypothesis with NSC dysfunction hypothesis, but also explains the mechanisms of disease progression of schizophrenia as shown in figure 1. To inhibit this cycle of pathological progression, intervention indicated by $1 \sim 3$, shown in Figure 1, is supposed to be effective.

1) TAAR1 agonists (Figure 1 ①)



In schizophrenia brain, dysfunction of neural stem cells (NSC) in the subventricular zone (SVZ) of lateral ventricle causes D-neuron decrease in the striatum and nucleus accumbens (Acc) [8,31]. This induces TA decrease in these nuclei and TAAR1 stimulation decrease onto DA terminals of VTA DA neurons, causing firing frequency increase in VTA DA neurons [28, 30, 31]. This increases DA release and DA turnover in the Acc, being the molecular basis of mesolimbic DA hyperactivity of schizophrenia. Striatal DA hyperactivity causes excessive D2 stimulation of NSC in the striatum and inhibits forebrain NSC proliferation [31, 34], which accelerates D-neuron decrease and mesolimbic DA hyperactivity.

- To inhibit this cycle of pathological progression, $\mathbb{O}{\sim}\mathbb{3}$ intervention is effective.
- ① TAAR1 agonists
- ① D2 antagonists
- Early int1D2 blocker administration has preventive effect for recurrence of psychoses.
- 2. D2 blockers increase TAAR1 agonists [35].
- ③ Neurotrophic substances

Brain-derived neurotrophic factor (BDNF), lithium, anticonvulsants, antidepressants, having neurotrophic effects, activate NSC functions [37].

Figure 1: Scheme of D-cell hypothesis (trace amine (TA) hypothesis) of schizophrenia.



Early studies have shown formation of some TAAR1 ligands by administration of D2 antagonists including haloperidol and chlorpromazine [35]. In recent animal studies, effectiveness of TAAR1 ligands for schizophrenia-like symptoms of schizophrenia model animals has been shown [28].

2) D2 antagonists (Figure 1 2)

Duration of untreated psychosis is a predictor of long-term outcome of schizophrenia. Importance of early intervention for first episode schizophrenia by using D2 antagonist has been emphasized. Chronic D2 blocker administration has preventive effect for recurrence of psychoses. D2 antagonists may block disease progression as shown in Figure 1. D2 antagonists have dual actions for inhibiting this cycle of disease progression by also forming some TAAR1 ligands (3-methoxytyramine, normetanephrine) which may increase TAAR1 stimulation as shown in Figure 1 [35].

3) Neurotrophic substances (Figure 1 ③)

Disease progression would be inhibited by neurotrophic substances, for example, brain-derived neurotrophic factor (BDNF), lithium, anticonvulsants, or antidepressants. These substances, having neurotrophic effects, activate NSC functions [37], and inhibit striato-accumbal D-neuron decrease.

4) Intranasal administration of drugs, expecting retrograde transport of neuroactive substances or their precursors through the olfactory bulb, might be a novel therapeutic strategy ($\mathbb{1}$ \sim 3). It is a possible preferable method of administration, as it devoid of gastrointestinal side effects [38,39,40]. In this context, further investigation remain to be performed.

Some Evidences Supporting D-Cell Hypothesis of Schizophrenia (Table 1)

Although it has not yet been detailed which type of TA in the human central nervous system is related to psychiatric symptoms, nor has been identified the endogenous ligands of human TAAR1, clinical and/or pharmacological observations may enable us to determine the critical type of TA. Further, the type of TA that is synthesized in human striatal D-neurons has not yet been clarified.

Early in 1974, Sabelli and Mosnaim proposed "Phenylethylamine hypothesis of affective behavior" [41], indicating the involvement of TA in animal behaviours.

PEA, having the similar chemical structure of methamphetamine, is the most probable TA which affects on psychiatric symptoms. One of the initial clinical symptoms frequently observed in first episode schizophrenia is the disturbance of sleep-wake-rhythm, that is, insomnia and daytime hypersomnia. As PEA is the specific substrate for monoamine oxidase, type B (MAOB), MAOB knockout mice contained elevated level of PEA in the striatum by 8-10 times of that of controls [42]. Clinically, MAOB inhibitor, selegiline ameliorates daytime sleepiness of narcolepsy or other neuropsychiatric diseases. This is explained by PEA increase due to inhibition of PEA degradation.

The D-neuron decrease in the striatum of schizophrenia [9] due to NSC dysfunction, causes striatal TA decrease. The author's

post-mortem brain study has shown increased DNA methylation rate of MAOB gene in Acc of schizophrenia [43]. This may be the compensation for PEA decrease caused by lack of D-neurons in Acc.

From the aspect of food intake, PEA is included in chocolate. High incidence of chocolate habit of Novel Prizewinners, that is, eating chocolate more than twice a week, has been reported [44]. PEA is supposed to be related to higher mental functions. Whereas, too much chocolate intake of children is generally restricted, possibly aimed at preventing D-neuron down regulation.

Carlsson et al. reported that administration of D2 antagonists such as chlorpromazine and haloperidol increased TAAR1 ligands, including 3-methotytyramine and normetanephrine [36]. This indicates that the molecular basis of efficacy of D2 antagonists may be effects also via TAAR1 stimulation by 3-methotytyramine and/or normetanephrine.

Ventricular enlargement in brain imaging of patients with schizophrenia [32,33] may be the similar phenomenon to D-neuron decrease in the striatum of schizophrenia [9], both of which support NSC dysfunction hypothesis of schizophrenia. Decreased level of plasma brain-derived neurotrophic factor (BDNF) in schizophrenia [40] is also related to NSC dysfunction.

Some evidence supporting D-cell hypothesis of schizophrenia is summarized in Table 1.

Prognoses of Neuropsychiatric Illnesses

"D-cell hypothesis", which is proposed by a postmortem brain study of schizophrenia, explains molecular mechanism

Trace amine (TA)

- Disturbance of sleep-wake-rhythm of patients with schizophrenia. (insomnia and daytime hypersomnia)
- 2.Phenylethylamine hypothesis of affective behaviour [41]
- 3. Decrease of TA neurons (=D-neurons) in post-mortem brains of schizophrenics [9].
- 4. Chocolate (which include β-phenylethylamine (PEA)) habit of Novel Prizewinners [44]
- Excessive chocolate intake of children be generally restricted. (Possible prevention of D-neuron down regulation)
- 6.D2 blockers form ligands of TA-associated receptor, type 1 (TAAR1) acting as also antipsychotics [36].

Monoamine oxidase , type B (MAOB) and β -phenylethylamine (PEA)

PEA be specific substrate for MAOB (tyramine for both monoamine oxidase, type A (MAOA) and MAOB)

- MAOB knockout mice contained elevated level of PEA in the striatum by 8-10 times of that of controls [42].
- 2.Clinically, MAOB inhibitor, selegiline ameliorates daytime sleepiness of narcolepsy or other neuropsychiatric diseases. (By PEA increase?)
- 3.In schizophrenia, insomnia and daytime sleepiness be frequently observed as initial symptoms. • by PEA decrease?
- 4.Increased DNA methylation rate of MAOB gene in the nucleus accumbens (Acc) of postmortem brains of schizophrenia. (Compensation for PEA decrease due to lack of D-neurons) [43]

Neural stem cell (NSC)

- 1.NSC dysfunction hypothesis of schizophrenia
- 2. Ventricular enlargement in brain imaging of patients with schizophrenia [32,33]
- 3.Decrease of D-neurons in Acc of patients with schizophrenia [9]
- Decreased level of plasma brain-derived neurotrophic factor (BDNF) in patients with schizophrenia [37]

Table 1: Possible evidences supporting "D-cell hypothesis" ("Trace amine (TA) hypothesis")

of mesolimbic DA hyperactivity of schizophrenia, linking NSC dysfunction hypothesis with DA hypothesis. Such D-cell-involved etiological dynamism in schizophrenia may exist in wide spectrum of mental illnesses, and also in neurological illnesses [45]. As shown in Figure 1, NSC functions affect not only on D-neuron activity, but also clinical course and prognoses of neuropsychiatric illnesses.

Conclusion

The D-neuron, i.e., the TA neuron, is a clue for pathogenesis of neuropsychiatric illnesses. Exploration of endogenous TAAR1 ligands, and NSC- and D-neuron-mediated signal transduction of normal and/or disease state(s) is critical for future direction of neuropsychiatric research.

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